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14606 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|-----------------------|--|
| Attorney Docket No.: | 09792350-0048 |
| First Named Inventor: | BRADLEY, Kenneth, A. |
| Title: | Optical Switches and Routers and Optical Filters |
| Express Mail No. | EV328241605US |
| Date of Deposit: | December 12, 2003 |

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ This Form includes the Fee Transmittal (See Box 19)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification [Total Pages 139]
WO/US02/19562
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 24]
5. ☒ Declaration and Power of Attorney [Total Pages 3]
 - a. ☒ Newly unexecuted (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate

ADDRESS TO: Mail Stop Patent Applications
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R in duplicate; or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s) - from
parent applications
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS
Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified copy of _____ Priority Document:
Document No. _____, filed on _____
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(I).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: In'tl Search Report; Written Opinion

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application of PCT/US02/19562
Prior application information: Examiner Name: _____ Group Art Unit: _____

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. FEE CALCULATIONS:

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) BASIC FEE \$385.00 |
|--------|-----------------------|---|------------------|----------|---------------------------|
| | TOTAL CLAIMS | 15 | | \$9.00 | \$.00 |
| | INDEPENDENT CLAIMS | 15 | 12 | \$43.00 | \$516.00 |
| | | ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO | | \$145.00 | \$ |
| | | | | SUBTOTAL | \$901.00 |

- a. ☒ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. **A duplicate copy of this sheet is enclosed.**
- b. ☒ The enclosed credit card payment form to charge the amount of \$.00 covers the total claim fee

20. ☒ Customer No. 026263
Dated: December 12, 2003

SIGNATURE:

Thomas J. Burton, (Reg. No. 47,464)